



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to:

"Assistant Commissioner for Patents  
Alexandria, VA 22313-1450"

on November 4, 2004

Ellen Plotkin  
ELLEN PLOTKIN  
Reg. No. 36,636  
Attorney for Applicant(s)

11/4/04  
Date of  
Signature

**PATENT**

Case #J6852(C)  
UNUS #03-R223-EDG

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Customer No.: 000201  
Applicant: Yuan et al.  
Serial No.: 10/645,576  
Filed: August 21, 2003  
For: **HIGH SKIN FRICTION COSMETIC CREAMS CONTAINING  
DISPERSED ZINC OXIDE PARTICLES AS INORGANIC SUNSCREEN**

Examiner: Unknown  
Group No.: 1616  
Edgewater, New Jersey 07020  
November 4, 2004

**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
Alexandria, VA 22313-1450

Sir:

Applicants' attorney has received a copy of the Official Filing Receipt for the above-identified application and has noted the following error:

Please amend listing of both applicants' names to read as follows:

--Ritu Verma, New York, NY--

--Yonghui Yuan, Tappan, NY--

Attached is a copy of the Filing Receipt with annotated correction.

Support for this change can be found in the attached copy of the executed declaration.

[XX] In the event there is a fee for this error, please charge our Deposit Account 12-1155.

Applicants look forward to receiving the corrected Filing Receipt in due course.

Respectfully submitted,



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Ellen Plotkin  
Reg. No. 36,636  
Attorney for Applicant(s)

EP/dca  
(201) 840-2253



## UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/645,576	08/21/2003	1616	750	J6852(C)		20	2

000201  
 UNILEVER  
 PATENT DEPARTMENT  
 45 RIVER ROAD  
 EDGEWATER, NJ 07020

EP

CONFIRMATION NO. 8223

## CORRECTED FILING RECEIPT



\*OC000000014161345\*

Date Mailed: 10/21/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Verma, Ritu, New York, NY;  
 Yuan, Yonghui, Tappan, NY;

## Assignment For Published Patent Application

Unilever Home & Personal Care USA, Division of Conopco, Inc.

Power of Attorney: The patent practitioners associated with Customer Number 000201.

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 12/02/2003

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/645,576**

Projected Publication Date: 02/24/2005

Non-Publication Request: No

Early Publication Request: No



**Title**

High skin friction cosmetic creams containing dispersed zinc oxide particles as inorganic sunscreen

**Preliminary Class**

424

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

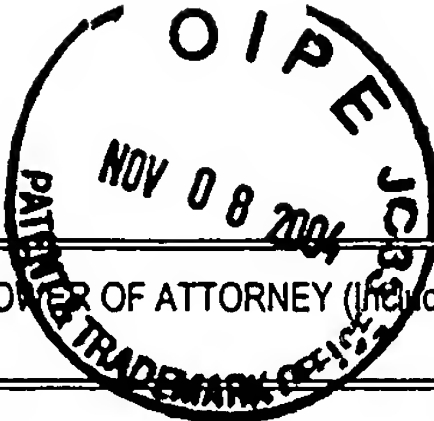
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)		Attorney Docket No. J6852(C)	
<p>As a below named inventor, I hereby declare that:</p> <p>My residence, post office address and citizenship are as stated below next to my name.</p> <p>I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:</p> <p style="text-align: center;"><b>HIGH SKIN FRICTION COSMETIC CREAMS CONTAINING DISPERSED ZINC OXIDE PARTICLES AS INORGANIC SUNSCREEN</b></p> <p>the specification of which (check only one item below):</p> <p><input checked="" type="checkbox"/> is attached hereto.</p> <p><input type="checkbox"/> was filed as United States application Serial No. _____ on _____ and was amended on _____ (if applicable)</p> <p><input type="checkbox"/> was filed as PCT international application _____ on _____ and was amended under PCT Article 19 on _____ (if applicable)</p> <p>I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.</p> <p>I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).</p> <p>I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:</p>			
<b>PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:</b>			
COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119

I hereby claim the benefit under Title 35, United States Code §119(e) of any of any United States provisional application(s) listed below:

<b>PRIOR U.S. PROVISIONAL APPLICATION(S) FOR BENEFIT UNDER 35 U.S.C. 119(e)</b>	
APPLICATION NUMBER	DATE OF FILING (day, month, year)

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code §112. I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

**PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120.**

U.S. APPLICATIONS		STATUS (CHECK ONE)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED

<b>PCT APPLICATIONS DESIGNATING THE U.S.</b>				
PCT APPLICATION NO.	PCT FILING DATE	U.S SERIAL NUMBERS ASSIGNED (if any)		

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)	Attorney Docket No. J6852(C)
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**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Direct all correspondence to : CUSTOMER NUMBER 000201

201

FULL NAME OF INVENTOR	FAMILY NAME VERMA	FIRST GIVEN NAME RITU	SECOND GIVEN NAME —
RESIDENCE AND CITIZENSHIP	CITY NEW YORK	STATE OR FOREIGN COUNTRY NEW YORK	COUNTRY OF CITIZENSHIP INDIA
POST OFFICE ADDRESS	POST OFFICE ADDRESS 315 7 <sup>TH</sup> AVENUE	CITY NEW YORK	STATE & ZIP CODE/COUNTRY NY 10001


202

FULL NAME OF INVENTOR	FAMILY NAME YUAN	FIRST GIVEN NAME YONGHUI	SECOND GIVEN NAME —
RESIDENCE & CITIZENSHIP	CITY TAPPAN	STATE OR FOREIGN COUNTRY NEW YORK	COUNTRY OF CITIZENSHIP CHINA
POST OFFICE ADDRESS	POST OFFICE ADDRESS 118 NEWPORT AVENUE	CITY TAPPAN	STATE & ZIP CODE/COUNTRY NY 10983

203

FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202 	
DATE	DATE 6/27/03	



COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)			Attorney Docket No. J6852(C)
<p>As a below named inventor, I hereby declare that:</p> <p>My residence, post office address and citizenship are as stated below next to my name.</p> <p>I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:</p> <p style="text-align:center"><b>HIGH SKIN FRICTION COSMETIC CREAMS CONTAINING DISPERSED ZINC OXIDE PARTICLES AS INORGANIC SUNSCREEN</b></p> <p>the specification of which (check only one item below):</p> <p><input checked="" type="checkbox"/> is attached hereto.</p> <p><input type="checkbox"/> was filed as United States application Serial No. _____ on _____ and was amended on _____ (if applicable)</p> <p><input type="checkbox"/> was filed as PCT international application _____ on _____ and was amended under PCT Article 19 on _____ (if applicable)</p> <p>I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.</p> <p>I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).</p> <p>I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:</p>			
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**PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120.**

U.S. APPLICATIONS		STATUS (CHECK ONE)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED

<b>PCT APPLICATIONS DESIGNATING THE U.S.</b>				
PCT APPLICATION NO.	PCT FILING DATE	U.S SERIAL NUMBERS ASSIGNED (if any)		

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**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

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FULL NAME OF INVENTOR	FAMILY NAME VERMA	FIRST GIVEN NAME RITU	SECOND GIVEN NAME —
RESIDENCE AND CITIZENSHIP	CITY NEW YORK	STATE OR FOREIGN COUNTRY NEW YORK	COUNTRY OF CITIZENSHIP INDIA
POST OFFICE ADDRESS	POST OFFICE ADDRESS 315 7 <sup>TH</sup> AVENUE	CITY NEW YORK	STATE & ZIP CODE/COUNTRY NY 10001

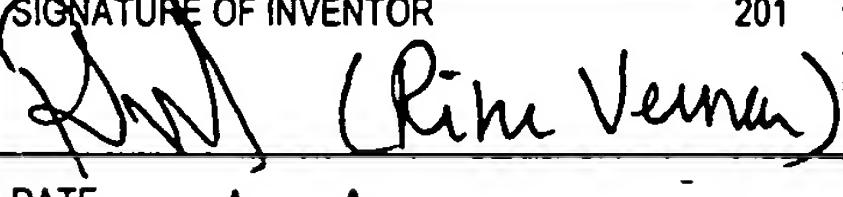
202

FULL NAME OF INVENTOR	FAMILY NAME YUAN	FIRST GIVEN NAME YONGHUI	SECOND GIVEN NAME —
RESIDENCE & CITIZENSHIP	CITY TAPPAN	STATE OR FOREIGN COUNTRY NEW YORK	COUNTRY OF CITIZENSHIP CHINA
POST OFFICE ADDRESS	POST OFFICE ADDRESS 118 NEWPORT AVENUE	CITY TAPPAN	STATE & ZIP CODE/COUNTRY NY 10983

203

FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY

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SIGNATURE OF INVENTOR 201  (Ritu Verma)	SIGNATURE OF INVENTOR 202	
DATE 06/30/03	DATE	